# 533 Rec'd PCT/PTO 11 SEP 2001

FORM PTO-1390U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93) ATTORNEY'S DOCKET NUMBER B&H011US/5487-127 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U S APPLICATION NO CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAYMED PCT/GB00/00747 March 2, 2000 March 11, 1999 TITLE OF INVENTION ELECTRICAL CONNECTOR WITH DEFORMABLE INSERT APPLICANT(S) FOR DO/EO/US **David John Hollick** Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. 7. [X-] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. [ ] d. [X] have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). § 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. [X] A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. [ ] A substitute specification. A change of power of attorney and/or address letter. 15. [ ] Other items or information: 16. [X] International Search Report;

**International Preliminary Examination Report** 

U.S. APPLICATION NO. (If known, see 37 C F R 1	6492	PCT/GB00/0		ATTORNEY'S DOCKET NUMBER B&H011US/5487-127	
17. [X] The following fees are submitted:				CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
Search Report has been prepared by the EPO or JPO \$860.00				860.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482)					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT					
Article 33(1)-(4) <b>\$96.00</b> ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20				\$ 600.00	
Surcharge of \$130.00 for f				\$	
8.4.8	Number Filed	Number Extra	Rate		
Fotal Claims	23-20 =	3	X \$18.00	\$ 54.00	
Independent Claims	5-3 =	2	X 80.00	\$ 160.00	
Vultiple dependent claim(s) (if applicable) + \$270.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity					
Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than				\$	
20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 1074.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must				\$ 1074.00	
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1114.00	
				Amount to be	
				refunded	\$
				charged	\$
a. [X] A check in the amount of \$1,114.00 to cover the above fees is enclosed.					
b. [] Please charge my Deposit Account No. in the amount of \$\) to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0220.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:  "Express Mail" mailing label number F1 733 096 70305  Date of Deposit 91110				pholyte.	
Margeurite Gerstner  Tyco Electronics Co.  I hereby certify that this paper or fee is being deposited with the				SIGNATURE	
Intellectual Property Law Department M/S R20/1B  United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1 10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks,				Robert W. Glatz	
307 Constitution Drive Menlo Park, California 94026-1164  Washington, D C 20231  Multiple  Multiple  36.811					
	) // Dat	2! 1/\	1	36,811 REGISTRATION NUMBER	<u> </u>

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (DO/EO/US)

In re: David John Hollick

International Appl. No. PCT/GB00/00747 International Filing Date: March 2, 2000

For: ELECTRICAL CONNECTOR WITH DEFORMABLE INSERT

September 11, 2001

BOX PCT Commissioner for Patents Washington, DC 20231

## PRELIMINARY AMENDMENT

Sir:

Please amend the above-referenced application as follows. Attached hereto is a marked up version of the changes made to the specification including the claims by the current amendment. The marked up version of the changes is captioned "Version With Markings To Show Changes Made."

#### IN THE SPECIFICATION:

On page 1, at line 2 (after the title), please insert the following text:

#### -- RELATED APPLICATIONS

The present application is a National Phase application of PCT/GB00/00747 filed on March 2, 2000 and published in English, which claims priority from Application GB 9905505.5 filed on March 11, 1999.

#### FIELD OF THE INVENTION--

On page 1, at line 4 (between the first and second paragraph (before "Electrical connectors")), please insert the following centered text:

### --BACKGROUND OF THE INVENTION--

On page 1, at line 19 (before "There has now been devised"), please insert the following centered text:

#### --SUMMARY OF THE INVENTION--

On page 3, at line 14 (before "The invention will now"), please insert the following centered text:

--BRIEF DESCRIPTION OF THE DRAWINGS--